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BY: Calvin J. Benson

DATE: 2/22/02

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent Application of  
Stephen A. Bove et al.

: Group Art Unit: 2164

Appln. No.: 09/328,626

: Examiner: D. Felten

Filed: June 9, 1999

For: SYSTEM AND METHOD FOR  
AUTOMATING INVESTMENT  
PLANNING

: Attorney Docket  
: No. 245-111

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**AMENDMENT**

This amendment is being filed in response to an Office Action mailed October 23, 2001, and is being filed within the first month after the shortened statutory period set for a response to the Office Action. A Petition for a one month extension of time accompanies this paper. An Amendment Cover Sheet also accompanies this paper for payment of extra claims. If any additional fees are due, charge the fees to Deposit Account No. 50-1017 (Billing No. 160245.0045).

Please amend the above-identified application as follows, without prejudice:

**In the Claims:**

Add claims 59-62 as follows:

--59. (New) A process according to claim 1 further comprising:

(f) inputting into a computer data regarding the client's asset portfolio preferences, including current assets that the client wishes to hold or sell, wherein step (d) further includes using the data in step (f) to automatically generate the financial transaction recommendations.

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